

02/01/02

JC690 U.S. PTO

02-05-02

JC978 U.S. PTO

10/066091

02/01/02

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket No. 7783.027

First Inventor or Application Identifier Marshall D. Crew

Title PHARMACEUTICAL COMPOSITIONS OF CHOLESTERYL ESTER
TRANSFER PROTEIN INHIBITORS

Express Mail Label No. EL915421485US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: U.S. Patent and Trademark Office
Box Patent Application
P.O. Box 2327
Arlington, VA 22202

1. ☒ *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 C.F.R. 1.27
3. ☒ Specification [Total pages 255]
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Federally Sponsored R&D
 - Reference to sequence listing, a table or a computer program listing
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Pages 0]
5. Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy) (UNSIGNED)
 - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
§§1.53(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on
 - i. ☐ CD-ROM or CD-R (2 copies) or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English translation document (if applicable)
12. ☐ Information Disclosure Statement (IDS) /PTO 1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B) (i)
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other Certificate of Mailing by Express Mail
No. EL915419485US

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76.

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09 / 918,127

Prior application information: Examiner _____ Group No./Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000152
(Insert customer number or attach bar code label here) or ☐ Correspondence address below

Name Bruce W. DeKock

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Name (print/ type) Bruce W. DeKock

Registration No. (Attorney/Agent)

40,585

Signature

Date

February 1, 2002

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete If Known

Application Number	CIP of 09/918,127
Filing Date	(concurrently herewith)
First Named Inventor	Marshall D. Crew
Examiner Name	
Group/ Art Unit	
Attorney Docket No.	7783.027

TOTAL AMOUNT OF PAYMENT \$1,752

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 03-1550

Deposit Account Name Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$740

2. EXTRA CLAIM FEES

Total Claims	Indep. Claims	Multiple Dependent YES	Extra Claims	Fee from below	Fee Paid
42	7		22	18	396
			4	84	336
				280	280

*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$1,012

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205		65 Surcharge - late filing fee or oath	
127	50	227		25 Surcharge-late provisional filing fee or cover sheet	
139	130	139		130 Non-English specification	
147	2,520	147		2,520 For filing a request for ex-parte reexamination	
112	920*	112		920* Requesting publication of SIR prior to Examiner action	
113	1840*	113		1840* Requesting publication of SIR after Examiner action	
115	110	215		55 Extension for reply within first month	
116	400	216		200 Extension for reply within second month	
117	920	217		460 Extension for reply within third month	
118	1,440	218		720 Extension for reply within fourth month	
128	1,960	228		980 Extension for reply within fifth month	
119	320	219		160 Notice of Appeal	
120	320	220		160 Filing a brief in support of an appeal	
121	280	221		140 Request for oral hearing	
138	1,510	138		1,510 Petition to institute a public use proceeding	
140	110	240		55 Petition to revive - unavoidable	
141	1,280	241		640 Petition to revive - unintentional	
142	1,280	242		640 Utility issue fee (or reissue)	
143	460	243		230 Design issue fee	
144	620	244		310 Plant issue fee	
122	130	122		130 Petitions to the Commissioner	
123	50	123		50 Processing fee under 37 CFR 1.17(q)	
126	180	126		180 Submission of Information Disclosure Stmt.	
581	40	581		40 Recording each patent assignment per property (times number of properties)	
146	740	246		370 Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	740	249		370 For each additional invention to be examined (37 C.F.R. 1.129(b))	
179	740	279		370 Request for Continued Examination (RCE)	
169	900	169		900 Request for expedited examination of a design application	

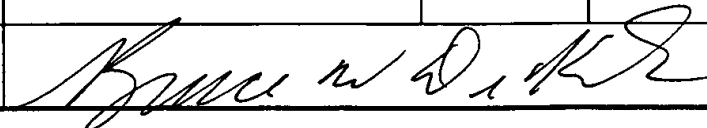
Other (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0

SUBMITTED BY

Complete (if applicable)

Name (print type)	Bruce W. DeKock	Registration No.	40,585	Telephone	(503) 227-5631
Signature				Date	February 1, 2002

CERTIFICATE OF TRANSMITTAL UNDER 37 CFR §§ 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

☒ deposited with the United States Postal Service in an envelope addressed to: U.S. Patent & Trademark Office, BOX PATENT APPLICATION, P.O. BOX 2327, Arlington, VA 22202

☐ with sufficient postage as first class mail

☒ as "Express Mail Post Office to Addressee" - mailing label no.

EL915421485US

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: February 1, 2002


Signature

Dwight Bergquist-Moody

Name of person certifying (type or print)

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